

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shaomeng Wang et al.

Serial No.:

10/729,156

Group No.:

1614

Filed:

12/05/2003

Examiner:

Hui

Entitled:

Small Molecule Antagonists of BCL-2 Family Proteins

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. ? 1.8(a)(1)(i)(A)

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Date: March 21, 2007

By:

Mary Bilen Waite

Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

Applicant's believe no fee is required. If the Commissioner deems otherwise, the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: March 21, 2007

Robert A. Goetz U

Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900



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Mary Ellen Waite

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The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- CN 1406919
- CN 87105990
- CN 1033795
- CN 1094392

- DE 1917341
- JP 01132542
- RU 2067111
- SU 212245
- SU 322042
- Becattini et al., Rational Design and Real Time, In-Cell Detection of the Proapoptotic Activity of a Novel Compound Targeting Bcl-XL; Chem Biol 11:389 (2004)
- Brzezinski et al., Selective Esterification of Gossypol by Copper Acetate in Acetonitrile-Spectroscopi Studies; Spectroscopy Lett 27:1143 (1994)
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- Dowd et al., The (-) –Gossypol-2,4-pentanedione (1:2) inclusion complex; J Chem Crystallogr 34:559 (2004)
- Freedman et al., Determination of the Absolute Configuration and Solution Conformation of Gossypol by Vibrational Circular Dichroism; Chirality 15:196 (2003)
- Gdaniec et al., Gossypol; Compregensive Supramolecular Chemistry6:117-200
- Gonzalez Correa et al., New Gossypol Derivatives; J Am Oil Chem Soc 43: 678 (1966)
- Han, X Y Jie He Za Zhi 2:159 (1982 (Chinese)
- Hei et al., Electron Microscope Examination of Biopsy of Testis Tissue from the Patients with Tumors after Oral Treatment with Gossypol; Acta Acad Med Sinicae 61:527 (1981) (Chinese with Translation)

- Jaroszewski et al., Effects of Gossypol on Drug-Sensitive and Drug-Resistant Cancer Cells; Proc Am Assoc Cancer Res 31:377 (1990)
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- Vermel, The Search for Antitumour Substances of Plant Origin; Acta Unio Internationalis Contra Cancrum 20:211 (1964)
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- Zhang et al., Differential Proliferative Responses to the (-)-enantiomer of Gossypol in Cultured Human Breast Epithelial and Stromal Cells; Proc Amer Assoc Cancer Res 40:4 (1999)

This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: 3-21-2007

Robert A. Øbetz Registration No. 55,210 MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet 1

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Filing Date	12/05/2003	JAR A	١
First Named Inventor	Wang et al.	a 23 7000 x	I
Art Unit	1614	3	_
Examiner Name	Hui	Man of	
Attorney Docket Number	UM-08477	EMARK	7

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code <sup>2 (f known)</sup>			Figures Appear	
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		FORE	IGN PATENT DOCU	MENTS		
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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Cade <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	ް
	1	CN 1406919 /	09/06/2001		entire document	
	2	CN 87105990 /	12/26/1987		entire document	
-	3	CN 1033795	12/07/1989		entire document	
	4	CN 1094392 .	11/02/1994		entire document	
	5	DE 1917341 /			entire document	
	6	JP 01132542	04/03/1969		entire document	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		Complete if Known		
Sub	sauce to form 1445/F10	Application Number	10/729,156	
18	TODMATION DISCLOSURE	Filing Date	12/05/2003	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor	Wang et al.	
		Art Unit	1614	
	(Use as many sheets as necessary)	Examiner Name	Hui	
Sheet	2 of	Attorney Docket Number	UM-08477	

Evaminer	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	o.1 Document Namber	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
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		FOREI	<b>GN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				<u> </u>
	7	RU 2067111			entire document	
	8	SU 212245			entire document	
	9	SU 322042			entire document	
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Translation is attached.

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Substitute for form 1945/17				Application Number	10/729,156	
INF	ORMATION	DIS	CLOSURE	Filing Date	12/05/2003	
STA	TEMENT E	BY AI	PPLICANT	First Named Inventor	Wang et al.	
	//	4		Art Unit	1614	
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Sheet	3	of		Attorney Docket Number	UM-08477	

	1 011	NON PATENT LITERATURE DOCUMENTS	T
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	10	Becattini et al., Rational Design and Real Time, In-Cell Detection of the Proapoptotic Activity of a Novel Compound Targeting Bcl-XL; Chem Biol 11:389 (2004)	
	11	Brzezinski et al., Selective Esterification of Gossypol by Copper Acetate in Acetonitrile-Spectroscopi Studies; Spectroscopy Lett 27:1143 (1994)	
	12	Dowd et al., Crystal and Molecular Structure of an Enantiomeric Gossypol-Acetic Acid Clathrate; J am Oil Chem Soc 76:1343 (1999)	
	13	Dowd et al., A Correction to the Molecular Structure of Enantiomeric Gossypol; J Am Oil Chem Soc 78:1171 (2001)	
	14	Dowd et al., The Gossypol-Cyclododecanone (1/2) inclusion Complex; Acta Crystallogr C 59:397 (2003)	/
	15	Dowd et al., The (-) –Gossypol-2,4-pentanedione (1:2) inclusion complex; J Chem Crystallogr 34:559 (2004)	/
	16	Freedman et al., Determination of the Absolute Configuration and Solution Conformation of Gossypol by Vibrational Circular Dichroism; Chirality 15:196 (2003)	1
	17	Gdaniec et al., Gossypol; Compregensive Supramolecular Chemistry6:117-200	
	18	Gonzalez Correa et al., New Gossypol Derivatives; J Am Oil Chem Soc 43: 678 (1966)	
	19	Han, X Y Jie He Za Zhi 2:159 (1982 (Chinese)	

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Signature	Considered	

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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1443/F 10	Application Number	10/729,156	
<b>INFORMATION DISCLOSURE</b>	Filing Date	12/05/2003	
STATEMENT BY APPLICANT	First Named Inventor	Wang etal.	
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Sheet 4 of	Attorney Docket Number	UM-08477	

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Examiner Initials*	Cite No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	20	Hei et al., Electron Microscope Examination of Biopsy of Testis Tissue from the Patients with Tumors after Oral Treatment with Gossypol; Acta Acad Med Sinicae 61:527 (1981) (Chinese with Translation)	/.
	21	Jaroszewski et al., Effects of Gossypol on Drug-Sensitive and Drug-Resistant Cancer Cells; Proc Am Assoc Cancer Res 31:377 (1990)	
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	23	Kim et al., Gossypol, a Hyperthermic Sensitizer of HeLa Cells; Cancer Res 45:6338 (1985)	
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Substitute for form 1449/PTO				Complete if Known		
Substitu	le 101 101111 1445/F10			Application Number	10/729,156	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				Filing Date	12/05/2003	
				First Named Inventor	Wang et al.	
				Art Unit	1614	
				Examiner Name	Hui	
Sheet	5	of		Attorney Docket Number	UM-08477	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	30	Vermel, The Search for Antitumour Substances of Plant Origin; Acta Unio Internationalis Contra Cancrum 20:211 (1964)	
	31	Vermel et al., Voprosy Oncologii 10:88 (1964) (Russian)	
	32	Xu, A Laboratory Investigation on the Antitumor Effects of Gossypol; Med J Jinan Univ 2:39 (1987) ( Chinese with translation)	
	33	Zakhidov et al., Ezvestiia Akademii Nauk SSSR Seriia Biologicheskaia 4:694 (1994) (Russian)	
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